

ALABAMA STATE BOARD OF AUCTIONEERS

DISCIPLINARY ACTIONS

NAME: Gregory D. Norred

DATE: February 17, 2011

DISPOSITION: Respondent was found guilty of violations of §34-4-21(c), 34-4-29(c)(7) and (9), Code of Alabama, 1975 as amended, and Ala. Admin. Code r. 150-X-1-.10(a). Mr. Norred paid a fine of \$2,000 and voluntarily surrendered his auctioneer license. Respondent remained eligible to apply for licensure as an apprentice auctioneer pending compliance with the further requirements set forth in the Board's final order.

NAME: Lewis Whitlock d/b/a Whit's Auction Company

DATE: January 16, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and was assessed an administrative fine of \$2,000.

NAME: Conrad Green

DATE: January 16, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and possession of an altered auctioneer license, and was assessed an administrative fine in the amount of \$3,000. Respondent further agreed to withdraw his pending application for an auctioneer license with the Board for failure to disclose a prior criminal history and agreed not to reapply for licensure for six months following successful completion of court-ordered probation for his convictions and until such time as his fines have been paid in full.

NAME: Gregg Crow; AAA Auction and Realty, L.L.C.

DATE: February 4, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and paid an administrative fine in the amount of \$2,500.

NAME: Charles S. Bradley

DATE: May 2, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and paid an administrative fine in the amount of \$2,700.

NAME: Tom Wieck d/b/a Big Dawg Promotions, LLC

DATE: June 17, 2013

DISPOSITION: Respondent was found guilty of violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, for which he was assessed an administrative fine in the amount of \$3,000, together with the cost of the proceedings, for an additional \$3,000.

NAME: Richard Askew; Clay County Goat and Poultry Auction, LLC

DATE: July 12, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and paid an administrative fine of \$4,500.

NAME: Paul White d/b/a Yellow Jacket Sales

DATE: July 16, 2013

DISPOSITION: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of §34-4-20, Code of Alabama, 1975 as amended, for conducting auctions without a license, and was assessed an administrative fine of \$800.



Alabama State Board of Auctioneers
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DISCIPLINARY ACTIONS

Name: Kenneth Lacy; K-Line Auctions and Estate Sales, LLC

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended) and ALA. ADMIN. CODE r. 150-X-1-.02(e) (2013), for negotiating contracts for the sale of items to the general public by means of public auction and advertising auctions without having first obtained an auction company license; ALA. ADMIN. CODE r. 150-X-1-.03(b) and 150-X-1-.10(f) (2013), for failure to disclose the name and license number of his sponsoring auctioneer in auction advertisements; and ALA. ADMIN. CODE r. 150-X-1-.10(h)(1) (2013), for executing auction contracts without the approval of his sponsor. Respondents were assessed an administrative fine of \$1,250 for these violations.

Name: David Scott Williams

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.10(e) (2013), for failing to approve consignment contracts negotiated and executed by his apprentice auctioneer, and was assessed an administrative fine of \$750.

Name: Sabrina Eouse; Furniture Market Auctions, Inc. d/b/a World of Decor

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which she pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended), for advertising auctions for the sale of miscellaneous items to the general public and conducting auctions for the sale of such items without having first obtained an auctioneer license and/or an auction company license, and was assessed an administrative fine of \$7,500.

Name: Chad Evans

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting auction sales for an unlicensed auction house/company, and was assessed an administrative fine of \$1,500.

Name: Michael Robuck

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting auction sales for an unlicensed auction house/company, and

ALA. CODE § 34-4-20 (1975 as amended), by advertising public auctions without having first obtained an auction company license, for which he was assessed an administrative fine of \$4,000.

Name: Brian Svencer

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of ALA. CODE § 34-4-20 (1975 as amended), for negotiating contracts for the sale of miscellaneous items to the general public by means of public auction, advertising public auctions and conducting auctions for the sale of such items, for which he was assessed an administrative fine of \$4,500.

Name: Matthew Powell

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting auction sales for an unlicensed auction house/company, for which he was assessed an administrative fine of \$500.

Name: Phillip Wingo

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting an auction sale for an unlicensed auction house/company, and ALA. ADMIN. CODE r. 150-X-1-.10(c) (2013), for failing to be present at and directly supervise one or more auctions called by his apprentice auctioneer, and for failing to make a written appointment with the Board of a qualified licensed auctioneer to act in his stead. Respondent was assessed an administrative fine of \$1,000 for these violations.

Name: Wayne Kelly

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting auction sales for an unlicensed auction house/company, and was assessed an administrative fine of \$750.

Name: Judy Sartin; Natural Bridge Auction and Trading Post

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which she pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended), for negotiating contracts for the sale of items to the general public by means of public auction and advertising public auctions for the sale of such items without having first obtained an auctioneer license and/or an auction company license, and was assessed an administrative fine of \$500.

Name: Jimmy Stephen Echols

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.10(h)(3) (2013), for conducting auctions for an unlicensed auction house/company and conducting auctions without his sponsor present, and was assessed an administrative fine of \$750.

Name: Anthony Wingo

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. ADMIN. CODE r. 150-X-1-.11(e) (2013), for conducting auction sales for an unlicensed auction house/company; ALA. ADMIN. CODE r. 150-X-1-.11(a)(22) (2013), for providing false information, statements or testimony to the Board's Investigator; and ALA. ADMIN. CODE r. 150-X-1-.11(a)(21) (2013), for interfering with the Board's investigation by willful misrepresentation of facts. Respondent was assessed an administrative fine of \$3,000 for these violations.

Name: Clyde Powell

Date: May 6, 2014

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended), for conducting auctions for the sale of miscellaneous items to the general public, including the calling for and receiving of bids, without having first obtained an auctioneer license, and was assessed an administrative fine of \$500.

Name: William E. Nichols; WMTY, Inc.

Date: May 7, 2014

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended), for purchasing miscellaneous items for sale to the general public by means of public auction, advertising public auctions for the sale of such items through WMTY and conducting weekly auctions for the sale of such items to the general public through WMTY, without having first obtained an auctioneer license and/or an auction company license. Respondents were assessed an administrative fine of \$3,000 for these violations.

Name: Debra Hill

Date: May 7, 2014

Disposition: The Board entered into a Consent Order with Respondent, in which she pled guilty to violations of ALA. CODE § 34-4-20 (1975 as amended), for conducting weekly auctions for the sale of miscellaneous items to the general public through WMTY, without having first obtained an auctioneer license, and was assessed an administrative fine of \$1,500.

OUTSTANDING DISCIPLINARY FINES

- **Name:** Tom Wieck, \$6,000 fine pending.
Last Known Address: 4430 Alexandria Pike, Cold Springs, KY 41076
- **Name:** Conrad Green, \$1,500 fine pending.
Last Known Address: 1337 Grant Town Road, Munford, AL 36268